

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet 1 of 16

Complete if Known	
Application Number	10/815,251
Filing Date	March 30, 2004
First Named Inventor	Satyajit Nath
Art Unit	2132
Examiner Name	Thomas R. Peeso
Attorney Docket Number	2222.5500000

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/T.P.	US1	4,203,166	05-13-1980	Eshram et al.	
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	US3	4,757,533	07-12-1988	Allen et al.	
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	US5	4,888,800	12-19-1989	Marshall et al.	
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	US16	5,319,705	06-07-1994	Halter et al.	
	US17	5,369,702	11-29-1994	Shanton	
V	US18	5,375,169	12-20-1994	Seheideit et al.	
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/T.P.	FP1	EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.		
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Examiner Signature	/Thomas Peeso/	Date Considered	04/14/2008
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Sheet 2 of 16

Complete if Known

Application Number	10/815,251
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Art Unit	2132
Examiner Name	Thomas R. Peeso
Attorney Docket Number	2222.5500000

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/T.P./	US21	5,414,852	05-09-1995	Kramer et al.	
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V	US40	5,729,734	03-17-1998	Parker et al.	
/T.P./					

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	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
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	FP14	WO 01/63387 A2	08-30-2001	Visualgold.com, Inc.		
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/T.P./	FP17	WO 01/84271 A2	11-08-2001	Certia, Inc.		
	FP18					

Examiner Signature	/Thomas Peeso/	Date Considered	04/14/2008
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Art Unit	2132
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Attorney Docket Number	2222.5500000

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/T.P./	FP19					
	FP20					
	FP21					
	FP22					
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	FP24					
	FP25					
	FP26					
	FP27					

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				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
Sheet	4	of	16	Attorney Docket Number	2222.5500000

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Number-Kind Code ² (if Known)					
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	US70	6,023,506	02-08-2000	Ote et al.	
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/T.P./	FP28				T*
	FP29				
	FP30				
	FP31				
	FP32				
	FP33				
	FP34				
	FP35				
/T.P./	FP36				

Examiner Signature	/Thomas Peeso/	Date Considered	04/14/2008
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet 7 of 16

Complete if Known

Application Number	10/815,251
Filing Date	March 30, 2004
First Named Inventor	Satyajit Nath
Art Unit	2132
Examiner Name	Thomas R. Peeso
Attorney Docket Number	2222.5500000

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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/T.P./	FP55					
	FP56					
	FP57					
	FP58					
	FP59					
	FP60					
	FP61					
	FP62					
/T.P./	FP63					

Examiner Signature	/Thomas Peeso/	Date Considered	04/14/2008
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Sheet 8 of 16

Complete if Known

Application Number	10/815,251
Filing Date	March 30, 2004
First Named Inventor	Satyajit Nath
Art Unit	2132
Examiner Name	Thomas R. Peeso
Attorney Docket Number	2222.5500000

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	FP64					
	FP65					
	FP66					
	FP67					
	FP68					
	FP69					
V	FP70					
	FP71					
	FP72					

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DOC#740288 1.DOC			

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/815,251
				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
Sheet	9	of	16	Attorney Docket Number	2222.5500000

U.S. PATENT DOCUMENTS					
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	FP73				
	FP74				
	FP75				
	FP76				
	FP77				
	FP78				
	FP79				
	FP80				
	FP81				

Examiner Signature	/Thomas Peeso/	Date Considered	04/14/2008
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Sheet 10 of 16

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Application Number	10/815,251
Filing Date	March 30, 2004
First Named Inventor	Satyajit Nath
Art Unit	2132
Examiner Name	Thomas R. Peeso
Attorney Docket Number	2222.5500000

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	FP82					
	FP83					
	FP84					
	FP85					
	FP86					
	FP87					
	FP88					
	FP89					
	FP90					

Examiner Signature	Date Considered	04/14/2008
(Thomas Peeso)		DOC#740288_1.DOC

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**INFORMATION DISCLOSURE
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Sheet 11 of 16

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Application Number	10/815,251
Filing Date	March 30, 2004
First Named Inventor	Satyajit Nath
Art Unit	2132
Examiner Name	Thomas R. Peeso
Attorney Docket Number	2222.5500000

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	FP83					
	FP84					
	FP85					
	FP86					
	FP87					
	FP88					
	FP89					
	FP90					

Examiner Signature	/Thomas Peeso/	Date Considered	/Thomas Peeso/
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Sheet 12 of 16

Complete if Known

Application Number	10/815,251
Filing Date	March 30, 2004
First Named Inventor	Satyajit Nath
Art Unit	2132
Examiner Name	Thomas R. Peeso
Attorney Docket Number	2222.5500000

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	FP82					
	FP83					
	FP84					
	FP85					
	FP86					
	FP87					
	FP88					
	FP89					
	FP90					

Examiner Signature	/Thomas Peeso/	Date Considered	04/14/2008
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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/815,251
				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
Sheet	13	of	16	Attorney Docket Number	
U.S. PATENT DOCUMENTS					

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/T,P/	US241	2002/0069272 A1	06-06-2002	Kim et al.	
	US242	2002/0069363 A1	06-06-2002	Winburn	
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	FP82					
	FP83					
	FP84					
	FP85					
	FP86					
	FP87					
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	FP89					
	FP90					

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/815,251
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				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
Sheet	14	of	16	Attorney Docket Number	2222.5500000

U.S. PATENT DOCUMENTS					
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A.P.	US261	2003/0217281 A1	11-20-2003	Ryan	
	US262	2003/0023559 A1	01-30-2003	Choi et al.	
	US263	2003/0033528 A1	02-13-2003	Ozog et al.	
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	FP83				
	FP84				
	FP85				
	FP86				
	FP87				
	FP88				
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Sheet 15 of 16

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Art Unit	2132
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Attorney Docket Number	2222.5500000

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/I.P.	US281	2003/0182579 A1	09-25-2003	Leporini et al.	
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<i>Filing Date</i>	March 30, 2004
<i>First Named Inventor</i>	Satyajit Nath
<i>Art Unit</i>	2132
<i>Examiner Name</i>	Thomas R. Peeso
<i>Attorney Docket Number</i>	2222.5500000

NON PATENT LITERATURE DOCUMENTS

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/T.P./	NPL1	Expiration Mechanism for Chipcards, IBM Technical Disclosure Bulletin, October 1, 2001, UK	
	NPL2	McDANIEL et al. "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999	
	NPL3	STALLINGS, William, "Cryptography and Network Security: Principles and Practice," 1999, pp. 333-37, Second Edition, Prentice Hall, Upper Saddle River, New Jersey.	
	NPL4	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
	NPL5	"Inside Encrypting file system," Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL6	"Inside Encrypting file system," Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL7	"Security with Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL8	"How EFS work," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL9	" Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
/T.P./	NPL10	" Features of EFS" from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	

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/T.P./	NPL11	" Windows 2000 EFS" in the April 1999 issue of Windows NT magazine				
	NPL12	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000				
	NPL13	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.				
	NPL14	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets, "U.S. Patent Application No. 10/404,566, filed 03/31/2003.				
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	NPL17	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment, "U.S. Patent Application No. 10/159,220, filed 05/31/2002				
	NPL18	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.				
	NPL19	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002				
/T.P./	NPL20	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.				

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/T.P./	NPL21	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.			
	NPL22	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.			
	NPL23	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.			
	NPL24	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.			
	NPL25	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.			
	NPL26	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.			
	NPL27	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.			
	NPL28	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.			
	NPL29	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.			
/T.P./	NPL30	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.			

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Substitute for form 1449/PTO

*Complete if Known***INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet

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of

6

<i>Application Number</i>	10/815,251
<i>Filing Date</i>	March 30, 2004
<i>First Named Inventor</i>	Satyajit Nath
<i>Art Unit</i>	2132
<i>Examiner Name</i>	Thomas R. Peeso
<i>Attorney Docket Number</i>	2222.5500000

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
/T.P./	NPL31	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
	NPL32	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL33	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL34	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL35	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL36	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL37	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL38	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL39	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
↓/T.P./	NPL40	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/815,251
				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
				Attorney Docket Number	2222.5500000
Sheet		5	of	6	

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/T.P.	NPL41	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.			
	NPL42	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.			
	NPL43	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.			
	NPL44	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.			
	NPL45	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.			
	NPL46	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.			
	NPL47	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.			
	NPL48	"Security Options". December 20, 2001. DC & Co. pages 1-2			
	NPL49	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.			
/T.P.	NPL50	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.			

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				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
				Attorney Docket Number	2222.5500000
Sheet		6	of	6	

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/T.P./	NPL51	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.				
	NPL52	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.				
	NPL53	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.				
	NPL54	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.				
	NPL55	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.				
	NPL56	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.				
	NPL57	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.				
	NPL58	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.				
	NPL59	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.				
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